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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/706,338	11/04/2000	Francis Xavier Smith	

Chris Blank
4 Bicentennial Square
Suite 2B
Concord, NH 03301

FORMALITIES LETTER



OC000000005732279

Date Mailed: 02/05/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$502.
 - \$342 for 19 total claims over 20.
 - \$160 for 2 independent claims over 3.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1342.

*A copy of this notice **MUST** be returned with the reply.*



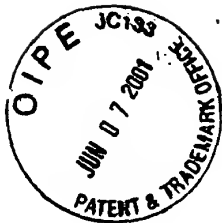
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

06/08/2001 BSAYAS11 00000049 09706338

01 FC:201	355.00 OP
02 FC:202	80.00 OP
03 FC:203	171.00 OP
04 FC:205	65.00 OP



#3

PTO/SB92 (08-00)

Approved for use through 10/31/2002. OMB 0651-0031
U. S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Certificate of Mailing under 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to:

Assistant Commissioner for Patents
Washington, D.C. 20231

on

June 5, 2001
Date

Signature

Chris Blank

Typed or printed name of person of signing Certificate

1. Fee transmitted 09/17/06 338
2. notice of missing parts
3. Petition for extension 2 months

Note: Each paper must have its own certificate of mailing, or this certificate must identify each submitted paper.

Burden Hour Statement: This form is estimated to take 0.03 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



PTO/SB/17 (11-00)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
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FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT

(\$)

Complete if Known

Application Number **09/706 338**
Filing Date **11/4/2000**
First Named Inventor **Francis Smith**
Examiner Name **n/a**
Group Art Unit **n/a**
Attorney Docket No. **n/a**

METHOD OF PAYMENT

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit Account Number
Deposit Account Name

- ☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☐ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
101 710 201 355	Utility filing fee	
106 320 206 160	Design filing fee	
107 490 207 245	Plant filing fee	
108 710 208 355	Reissue filing fee	
114 150 214 75	Provisional filing fee	

Fee Paid

355

SUBTOTAL (1) (\$)

2. EXTRA CLAIM FEES

Total Claims - 20** = **19** X **9** = **171**
Independent Claims - 3** = **2** X **40** = **80**
Multiple Dependent

Large Entity Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description
103 18 203 9	Claims in excess of 20	
102 80 202 40	Independent claims in excess of 3	
104 270 204 135	Multiple dependent claim, if not paid	
109 80 209 40	** Reissue independent claims over original patent	
110 18 210 9	** Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2) (\$)

*for number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
105 130 205 65	Surcharge - late filing fee or oath				65
127 50 227 25	Surcharge - late provisional filing fee or cover sheet				
139 130 139 130	Non-English specification				
147 2,520 147 2,520	For filing a request for <i>ex parte</i> reexamination				
112 920* 112 920*	Requesting publication of SIR prior to Examiner action				
113 1,840* 113 1,840*	Requesting publication of SIR after Examiner action				
115 110 215 55	Extension for reply within first month				
116 390 216 195	Extension for reply within second month				195
117 890 217 445	Extension for reply within third month				
118 1,390 218 695	Extension for reply within fourth month				
128 1,890 228 945	Extension for reply within fifth month				
119 310 219 155	Notice of Appeal				
120 310 220 155	Filing a brief in support of an appeal				
121 270 221 135	Request for oral hearing				
138 1,510 138 1,510	Petition to institute a public use proceeding				
140 110 240 55	Petition to revive - unavoidable				
141 1,240 241 620	Petition to revive - unintentional				
142 1,240 242 620	Utility issue fee (or reissue)				
143 440 243 220	Design issue fee				
144 600 244 300	Plant issue fee				
122 130 122 130	Petitions to the Commissioner				
123 50 123 50	Processing fee under 37 CFR 1.17(q)				
126 180 126 180	Submission of Information Disclosure Stmt				
581 40 581 40	Recording each patent assignment per property (times number of properties)				
146 710 246 355	Filing a submission after final rejection (37 CFR § 1.129(a))				
149 710 249 355	For each additional invention to be examined (37 CFR § 1.129(b))				
179 710 279 355	Request for Continued Examination (RCE)				
169 900 169 900	Request for expedited examination of a design application				

Other fee (specify) _____

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) **866**

SUBMITTED BY

Name (Print/Type)

Chris Blum

Signature

Chris Blum

Registration No.
(Attorney/Agent)

31237

Complete (if applicable)

Telephone

603 492 2477

Date

6-5-2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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